



ST9-99-093 (IBMST 043161)

GDV JEFFERSON
#6 12/23/00
JDSW/Refers
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
Kehsing J. Chou, et al.)

Group Art Unit: 2771

Examiner: To Be Assigned

Serial No.: 09/399,696)

Filed: September 21, 1999)

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For: THE ARCHITECTURE AND IMPLEMENTATION OF A DYNAMIC RMI
SERVER CONFIGURATION HIERARCHY TO SUPPORT FEDERATED
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CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 10/2/2000 by Joanne H. Galvez.

Joanne H Galvez

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir/Madam:

In accordance with 37 C.F.R. 1.56, 1.97 and 1.98, the Applicants herewith submit copies of references cited in the attached PTO-1449 form for consideration during examination of the above-identified patent application. Applicants do not necessarily consider these references to be "material" to the patentability of any of the claims in the application. The references are nevertheless submitted since the references generally relate to the subject matter of the Applicants' invention and the Examiner might conclude differently as to their materiality.

It is respectfully requested that the cited references be made of record in this application and that a copy of the attached PTO-1449 form, appropriately initialed, be returned to Applicants' Attorney. This Information Disclosure Statement is being filed prior to the mailing

date of a First Office Action on the merits for the above-identified patent application.
Accordingly, no fee or petition is required.

Respectfully submitted,

PRETTY & SCHROEDER, P.C.

Dated: October 2, 2000

By: _____

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